



SECOR
✓
③

FILING RECEIPT

PLEASE DATE STAMP AND RETURN TO US - BOX 34385

In re application of:

Rob R. MONTGOMERY

Application No. 09/963,742 ✓

Confirmation No. (Unassigned)

Filed: September 27, 2001

For: BUYER-SIDE AUCTION DYNAMIC
PRICING AGENT, SYSTEM, METHOD
AND COMPUTER PROGRAM
PRODUCT

Art Unit: (Unassigned)

Examiner: (Unassigned)

Atty. Docket No.: 35646-175138

Customer No.:



26694

PATENT TRADEMARK OFFICE

PAPER(S) FILED ENTITLED:

1. Response to Notice to File Missing Parts
(with executed Declaration & Power of Attorney and copy of Notice)
2. Fee Transmittal
3. Check for \$65.00

VENABLE

1201 New York Avenue, N.W.

Suite 1000

Washington, D.C. 20005-3917

Telephone: (202) 962-4800

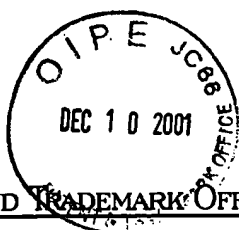
Facsimile: (202) 962-8300

DOCKET NO.: 35646-175183

ATTORNEY/SEC: RPA/lpm

Date Filed: December 10, 2001

Venable Filing No. 101765



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/963,742	09/27/2001	Rob R. Montgomery	35646-175183

CONFIRMATION NO. 9308

26694
VENABLE, BAETJER, HOWARD AND CIVILETTI, LLP
P.O. BOX 34385
WASHINGTON, DC 20043-9998

FORMALITIES LETTER



OC000000006955051

Date Mailed: 10/23/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 65.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

12/12/2001 NH0H11 00000006 09963742

01 FC:205

65.00 OP

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

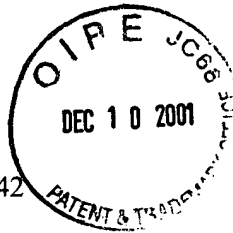
Rob R. MONTGOMERY

Application No. 09/963,742

Confirmation No. 9308

Filed: September 27, 2001

For: BUYER-SIDE AUCTION DYNAMIC
PRICING AGENT, SYSTEM, METHOD
AND COMPUTER PROGRAM
PRODUCT



Art Unit: 2161

Examiner: (Unassigned)

Atty. Docket No.: 35646-175183

Customer No.:



26694

PATENT TRADEMARK OFFICE

RESPONSE TO NOTICE TO FILE MISSING PARTS

Attention: Box Missing Parts

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In response to the Notice to file Missing Parts of Non-Provisional Application mailed November 26, 2001 submitted herewith are:

- ☒ Executed Declaration and Power of Attorney in compliance with 37 C.F.R. § 1.63;
- ☒ Copy of Notice to File Missing Parts of Nonprovisional Application

TOTAL FEE SUBMITTED: \$65.00 (fees previously paid)

If a greater or lesser fee is required, PTO is authorized to charge and/or credit Deposit Account No. 22-0261. For any further information, please contact me at the address listed below.

Respectfully submitted,

VENABLE, BAETJER, HOWARD & CIVILETTI, L.L.P.

Date Filed: December 10, 2001

Ralph P. Albrecht
Attorney for Applicant
Registration No. 43,466


VENABLE
P.O. Box 34385
Washington, D.C. 20005-3917
Telephone: (202) 962-4045
Facsimile: (202) 962-8300

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<h1 style="margin: 0;">FEE TRANSMITTAL</h1> <h2 style="margin: 0;">for FY 2001</h2> <p style="font-size: small; margin: 5px 0;">Patent fees are subject to annual revision.</p>		<p>Complete if Known</p>	
		Application Number	09/963,742
		Filing Date	September 27, 2001
		First Named Inventor	Rob R. MONTGOMERY
		Examiner Name	(Unassigned - New Application)
		Group / Art Unit	(Unassigned)
<p>TOTAL AMOUNT OF PAYMENT (\$) 65</p>		Attorney Docket No.	35646-175183

<p>METHOD OF PAYMENT (check one)</p>					<p>FEE CALCULATION (continued)</p>																																																																																																																																																					
<p>1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:</p> <p>Deposit Account Number: 22-0261</p> <p>Deposit Account Name: VENABLE</p> <p><input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17</p> <p><input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27</p>					<p>3. ADDITIONAL FEES</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th>Fee Code</th> <th>Large Entity Fee (\$)</th> <th>Small Entity Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>105</td><td>130</td><td>205</td><td>65</td><td></td></tr> <tr><td>127</td><td>50</td><td>227</td><td>25</td><td></td></tr> <tr><td>139</td><td>130</td><td>139</td><td>130</td><td></td></tr> <tr><td>147</td><td>2,520</td><td>147</td><td>2,520</td><td></td></tr> <tr><td>112</td><td>920*</td><td>112</td><td>920*</td><td></td></tr> <tr><td>113</td><td>1,840*</td><td>113</td><td>1,840*</td><td></td></tr> <tr><td>115</td><td>110</td><td>215</td><td>55</td><td></td></tr> <tr><td>116</td><td>390</td><td>216</td><td>195</td><td></td></tr> <tr><td>117</td><td>890</td><td>217</td><td>445</td><td></td></tr> <tr><td>118</td><td>1,390</td><td>218</td><td>695</td><td></td></tr> <tr><td>128</td><td>1,890</td><td>228</td><td>945</td><td></td></tr> <tr><td>119</td><td>310</td><td>219</td><td>155</td><td></td></tr> <tr><td>120</td><td>310</td><td>220</td><td>155</td><td></td></tr> <tr><td>121</td><td>270</td><td>221</td><td>135</td><td></td></tr> <tr><td>138</td><td>1,510</td><td>138</td><td>1,510</td><td></td></tr> <tr><td>140</td><td>110</td><td>240</td><td>55</td><td></td></tr> <tr><td>141</td><td>1,240</td><td>241</td><td>620</td><td></td></tr> <tr><td>142</td><td>1,240</td><td>242</td><td>620</td><td></td></tr> <tr><td>143</td><td>440</td><td>243</td><td>220</td><td></td></tr> <tr><td>144</td><td>600</td><td>244</td><td>300</td><td></td></tr> <tr><td>122</td><td>130</td><td>122</td><td>130</td><td></td></tr> <tr><td>123</td><td>130</td><td>123</td><td>130</td><td></td></tr> <tr><td>126</td><td>180</td><td>126</td><td>180</td><td></td></tr> <tr><td>581</td><td>40</td><td>581</td><td>40</td><td></td></tr> <tr><td>146</td><td>710</td><td>246</td><td>355</td><td></td></tr> <tr><td>149</td><td>710</td><td>249</td><td>355</td><td></td></tr> <tr><td>179</td><td>710</td><td>279</td><td>355</td><td></td></tr> <tr><td>169</td><td>900</td><td>169</td><td>900</td><td></td></tr> </tbody> </table>					Fee Code	Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid	105	130	205	65		127	50	227	25		139	130	139	130		147	2,520	147	2,520		112	920*	112	920*		113	1,840*	113	1,840*		115	110	215	55		116	390	216	195		117	890	217	445		118	1,390	218	695		128	1,890	228	945		119	310	219	155		120	310	220	155		121	270	221	135		138	1,510	138	1,510		140	110	240	55		141	1,240	241	620		142	1,240	242	620		143	440	243	220		144	600	244	300		122	130	122	130		123	130	123	130		126	180	126	180		581	40	581	40		146	710	246	355		149	710	249	355		179	710	279	355		169	900	169	900	
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<p>2. <input checked="" type="checkbox"/> Payment Enclosed:</p> <p><input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other</p>					<p>1. BASIC FILING FEE</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th>Large Fee Code</th> <th>Entity Fee (\$)</th> <th>Small Fee Code</th> <th>Entity Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>101</td><td>710</td><td>201</td><td>355</td><td>Utility filing fee</td><td></td></tr> <tr><td>106</td><td>320</td><td>206</td><td>160</td><td>Design filing fee</td><td></td></tr> <tr><td>107</td><td>490</td><td>207</td><td>245</td><td>Plant filing fee</td><td></td></tr> <tr><td>108</td><td>710</td><td>208</td><td>355</td><td>Reissue filing fee</td><td></td></tr> <tr><td>114</td><td>150</td><td>214</td><td>75</td><td>Provisional filing fee</td><td></td></tr> </tbody> </table> <p style="text-align: right;">SUBTOTAL (1) (\$) 0</p>					Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid	101	710	201	355	Utility filing fee		106	320	206	160	Design filing fee		107	490	207	245	Plant filing fee		108	710	208	355	Reissue filing fee		114	150	214	75	Provisional filing fee																																																																																																														
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**or number previously paid, if greater; For Reissues, see above

<p>SUBMITTED BY</p>		<p>Complete (if applicable)</p>	
Name (Print/Type)	RALPH P. ALBRECHT	Registration No. Attorney/Agent)	43,466
Signature		Telephone	(202) 216 8166
		Date	December 10, 2001

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

VENABLE
ATTORNEYS AT LAW

SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.